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PATENT

- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Daniel Mann et al.

Serial No.: 09/879,256

Filed: June 11, 2001

Art Unit: 2152

Examiner: Not Yet Assigned

Title: APPARATUS FOR DELIVERY OF MULTIPLE MEDIA DATA STREAMS, AND METHOD THEREFOR

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**STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. 1.97(e)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**IDENTIFICATION OF INFORMATION DISCLOSURE  
STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE**

- 1. This statement is being made for the Supplemental Information Disclosure Statement
  - ☒ accompanying this statement.
  - ☐ filed \_\_\_\_\_.

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on December 13, 2002.

\_\_\_\_\_  
Signature

Serena Beller  
\_\_\_\_\_  
(Printed name of person certifying)

**STATEMENT**

2. I (We), the person(s) signing below state:

- that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

**IDENTIFICATION OF PERSON(S) MAKING THIS STATEMENT**

3. The person making this statement is  
(check each applicable item)

- ☐ the inventor(s) who signs below

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Signature of inventor

---

(Type name of inventor who is signing)

- ☐ a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. § 1.56(c)) and who signs below.

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Signature of Person Making Statement

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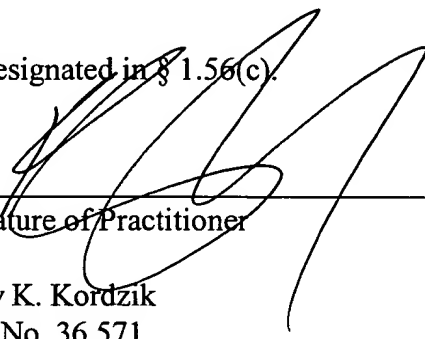
(type name of person who is signing)

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Address of person who is signing

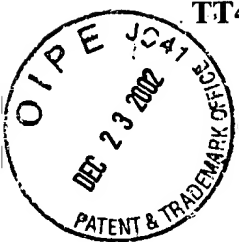
☒ the practitioner who signs below on the basis of the information:  
(check each applicable item)

- ☐ supplied by the inventor(s)  
☒ supplied by an individual designated in § 1.56(c).  
☐ in the practitioner's file.

  
\_\_\_\_\_  
Signature of Practitioner

Kelly K. Kordzik  
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TT4244

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UNITED STATES PATENT AND TRADEMARK OFFICE

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

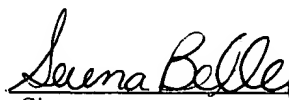
This Supplemental Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or

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**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on December 13, 2002.

  
\_\_\_\_\_  
Signature

Serena Beller

\_\_\_\_\_  
(Printed name of person certifying)

other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

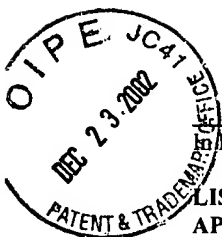
WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

By: 

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Place of FORM PTO-1449 (Modified)

Serial No.: 09/879,256  
Applicants: Daniel Mann et al.  
Filing Date: June 11, 2001  
Group: 2152  
Atty. Docket No.: TT4244

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
____AAA	6,005,861	12/21/99	Humpleman	370	352	
____ABC						
____ACA						
____ADA						
____AEA						
____AFA						
____AGA						
____AHA						
____AIA						
____AJA						
____AKA						
____ALA						
____AMA						
____ANA						
____AOA						
____APA						

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
____AQA	WO 98/37648	08/27/98	PCT			X
____ARA	GB 2336276	10/13/99	UK			X
____ASA						
____ATA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**Examiner  
Initial\_\_\_\_AUA "Functional Model of a Conditional Access System," *EBU Technical Review*, EBU Project Group B/CA, Winter 1995, pp. 64-77.

\_\_\_\_AVA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.